

Smart/Track Trailer Record Format

Field Name	Position	Required	Data Type	Data Format	Data Length	Field Definition	Description
Record Type	1			Character	6		Must contain value of "FILTLR"
Sender Firm ID (DTCC Participant Number or NON-Participant ID)	7			Character	8		nnnnnnn -valid DTCC Participant number or Nnnnnnnn- Non-Participant ID
Filler	15			Character	4		DTCC use only – do not use
Receiving Firm ID (DTCC Participant Number or NON-Participant ID)	19			Character	8		nnnnnnn -valid DTCC Participant number or Nnnnnnnn- Non-Participant ID
Filler	27			Character	4		DTCC use only – do not use
Record Count of Detail records	31			Numeric 9(9)	9		DO NOT include <u>password</u> , header or <u>trailer</u> records in count of total records.
DTCC function name for the file	40			Character	4		Ex : AGD5, AGM5 (See section 2.2 Smart/Track File Processing Input format Transmissions page 3 for complete list.)
File version for Day	44			Numeric	4		Unique transmission identifier to distinguish multiple inputs of the same file(function) in one day Default = 0001.
Business Date Note: this date will be used as part of key to identify data on retrieval	48			Character	8		This would represent the Close of Business Date for the data that is contained in the file Format = CCYYMMDD Must be the same as the FILHDR
Sender's Tax ID	56			Character	9		Legal entity identifier of the Sending Firm
Receiver's Tax ID	65			Character	9		Legal entity identifier of the Receiving Firm
Filler	74			Character	26		For DTCC use only, do not use
Filler	100			Character	????*		For DTCC use only, do not use

* Note: If Detail record is greater than 100 bytes the length field marked with ?????* must be changed to match the size of the detail record minus 100