

## Smart/Track Header Record Format

Field Name	Position	Required	Data Type	Data Format	Data Length	Field Definition	Description
Record Type	1			Character	6		Must contain value of "FILHDR"
Sender Firm ID (DTCC Participant Number or NON-Participant ID)	7			Character	8		nnnnnnn -valid DTCC Participant number or Nnnnnnnn- Non-Participant ID
Filler	15			Character	4		DTCC use only – do not use
Receiving Firm ID (DTCC Participant Number or NON-Participant ID)	19			Character	8		nnnnnnn -valid DTCC Participant number or Nnnnnnnn- Non-Participant ID
Filler	27			Character	4		DTCC use only – do not use
Date File was Created	31			Character	8		Date was created in EST time Format = CCYYMMDD
Time File was created	39			Character	6		Time was created in EST time Format= HHMMSS
DTCC function name for the file	45			Character	4		Ex : AGD5, AGM5 (See section 2.2 Smart/Track File Processing Input format Transmissions page 3 for complete list.)
File version for Day	49			Numeric	4		Unique transmission identifier to distinguish multiple inputs of the same file(function) in one day Default = 0001.
Business Date Note: this date will be used as part of key to identify data on retrieval	53			Character	8		This would represent the Close of Business Date for the data that is contained in the file Format = CCYYMMDD Must be today's business date or 1 business day prior
Sender's Tax ID	61			Character	9		Legal entity identifier of the Sending Firm Required – must not be spaces
Receiver's Tax ID	70			Character	9		Legal entity identifier of the Receiving Firm Required – must not be spaces
Filler	79			Character	21		For DTCC use only, do not use
Filler	100			Character	????*		For DTCC use only, do not use

\* Note: If Detail record is greater than 100 bytes the length field marked with ?????\* must be changed to match the size of the detail record minus 100